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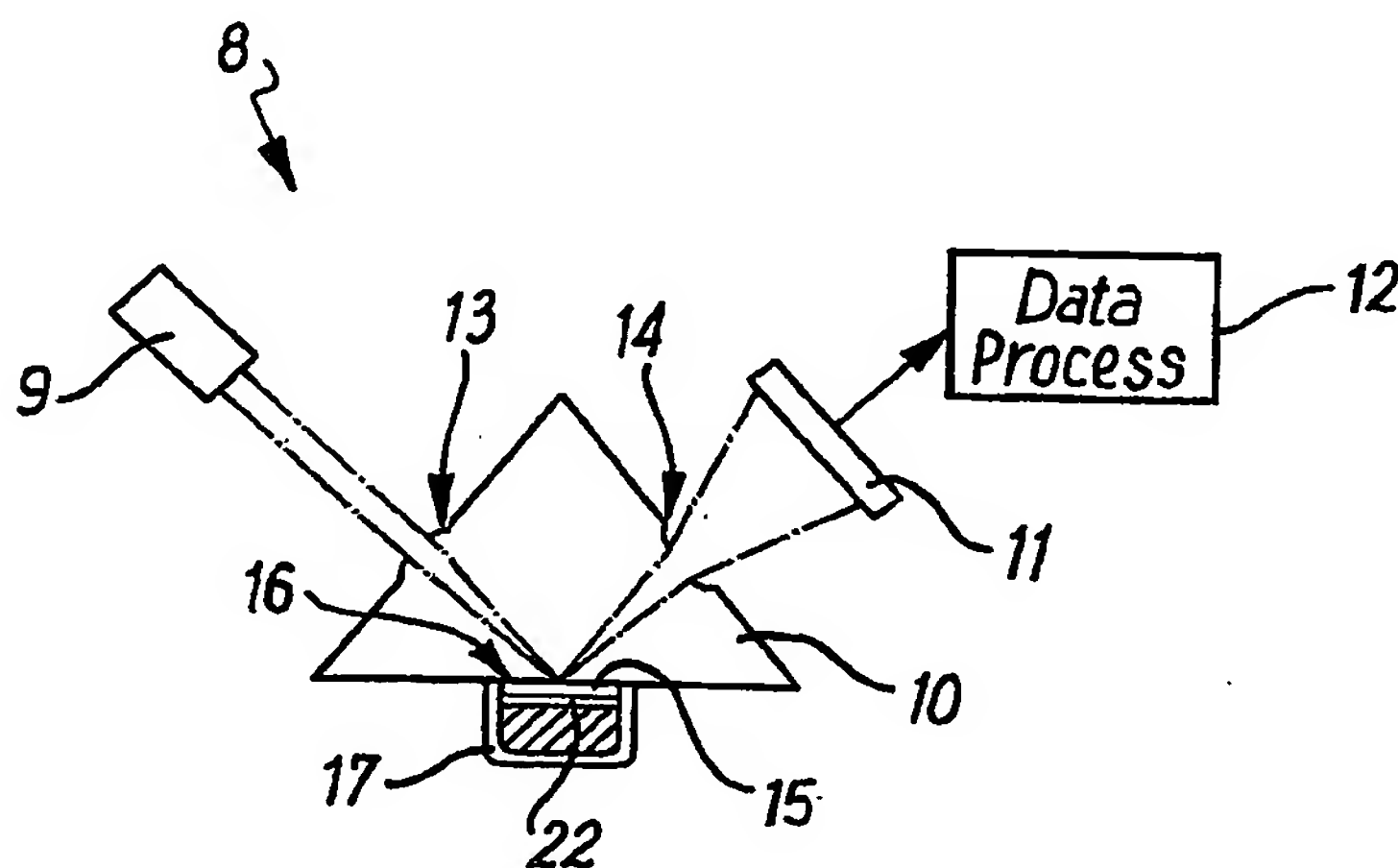
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(54) Title: SURFACE PLASMON RESONANCE SENSOR



(57) Abstract: An improved Surface Plasmon Resonance Sensor (8) is described that is compact, simple to align and cost effective to produce, thus making the device highly mobile and so ideal for field applications. These characteristics are achieved through the employment of a pre-formed cartridge (10) that provides for the required manipulation of a beam of light (2) used within the surface plasmon resonance process. The cartridge (10) is easily interchangeable and so provides a high degree of flexibility to the sensor (8). The device therefore provides a fast and simple means for the on site testing of fluids for the presence of harmful fluid borne bacterium. Particular application of the device is the testing of water samples obtained from industrial or recreational sources for the presence of the *Legionella bacteria*.

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SURFACE PLASMON RESONANCE SENSOR

1

2

3 This invention relates to a Surface Plasmon Resonance
4 Sensor. In particular it relates to an improved design
5 of Surface Plasmon Resonance Sensor that is compact,
6 simple to align and cost effective to produce, thus
7 making it ideal for field applications.

8

9 The phenomenon of Surface Plasmon Resonance (SPR) is well
10 known to those skilled in the art having being first
11 demonstrated over twenty five years ago. Surface Plasmon
12 Resonance is a charge-density oscillation that may exist
13 at the interface of two media that exhibit dielectric
14 constants of opposite signs, for example a metal and a
15 dielectric.

16

17 Surface Plasmon Resonance sensors described in the Prior
18 art generally comprise an optical system, a transducing
19 medium that generally combines the optical system and the
20 relevant chemical or biochemical domains, and an
21 electronic system that supports the optoelectronic
22 components of the sensor, and allows for the required data
23 processing. The devices come in three main
24 configurations namely:

- (1) Prism coupler based systems;
- (2) Grating coupler based systems; or
- (3) Optical waveguide based systems.

A typical prism coupler based system 1 is presented schematically in Figure 1. This system is generally accepted as being the best suited for sensing and therefore has become the most widely employed system in the art. In this configuration a light wave 2 passes through a first element of an optical system 3 before passing into a prism 4. Thereafter, the light wave 2 experiences total internal reflection at the interface between the prism 4 and a thin metal layer 5 (typically of a thickness of around 50 nm). The light wave 2 then passes through a second element of the optical system 6 that acts to manipulate the light wave 2 such that it becomes incident on a detector 7.

The Surface Plasmon Resonance sensor 1 is an ideal medium for analysing samples that become attached to the metal layer 5. SPR is a phenomenon that occurs when light incident upon the metallic layer 5 provides an absorption energy capable of vibrationally exciting the packets of electrons (or plasmons) located on the surface of the metal layer 5. As such the energy required to achieve SPR is highly dependent upon the dielectric constant of the species at the surface of the metal, the wavelength of the light wave 2 and the angle of incidence of the light wave 2.

As is known in the art the use of a particular monochromatic light source of a known wavelength incident at variable angles, or across a range of known angles, allows a reference Reflectance Angle versus Intensity

1 data to be recorded. The presence of any foreign bodies
2 that become attached to the surface of the metal layer 5
3 then act to change the value of the dielectric constant
4 experienced by the light wave 2 at the surface of the
5 metal layer 5. As such the presence of these foreign
6 bodies can be easily detected and thereafter quantified
7 by monitoring the profile of the Reflectance Angle versus
8 Intensity curves.

9
10 The systems described in the Prior Art are difficult to
11 optically align and so require a skilled operator.
12 Furthermore the systems are not easily miniaturised and
13 as such are not easily adapted to be used as field based
14 instruments. Generally, a user is required to take a
15 sample that then needs to be taken to the laboratory for
16 testing by the operator. This process can lead to
17 significant delays in obtaining results. Such delays can
18 be fatal when the instrument is employed as a biosensor
19 to detect particular pathogens.

20
21 It is an object of an aspect of the present invention to
22 provide a Surface Plasmon Resonance Sensor that overcomes
23 one or more of the limiting features associated with the
24 apparatus and methods described in the prior art.

25
26 According to a first aspect of the present invention
27 there is provided a cartridge for use in a Surface
28 Plasmon Resonance sensor, the cartridge comprising an
29 optical element having a first surface and a mounting
30 member for supporting a sensing agent located on a second
31 surface of the optical element wherein the first surface
32 comprises a first means for directing a beam of light
33 incident on the optical element towards the second
34 surface at an angle of incidence to the second surface

1 that results in substantially total internal reflection
2 of the beam of light at an interface of the mounting
3 member and the second surface.
4

5 Most preferably the optical element further comprises a
6 third surface for the exit of the beam of light from the
7 optical element wherein the third surface includes a
8 second means for directing the beam of light.
9

10 Preferably the optical element comprises a material
11 having a first dielectric constant while the mounting
12 member comprises a material having a second dielectric
13 constant wherein the second dielectric constant is of an
14 opposite sign to that of the first dielectric constant.
15

16 Most preferably the first means for directing the light
17 beam comprises a focusing element for focusing the beam
18 of light to a line at the interface of the mounting
19 member and the second surface.
20

21 Preferably the second means for directing the light beam
22 comprises a defocusing element.
23

24 Preferably the mounting member comprises a metal.
25

26 Preferably the optical element comprises an injection
27 moulded plastic material.
28

29 Most preferably the sensing element comprises one or more
30 antibodies each antibody being suitable for binding a
31 pathogen.
32

33 Preferably the bound pathogen is selected from the group
34 comprising Legionella, Escherichia coli, Salmonella,

1 Bacillus Anthracis, Yersinia Pestis, Lysteria,
2 Cryptosporidium, Variola virus, Picomaviridae Aphthovirus,
3 Filoviruses, any plasticiser, steroid, medicinal drug or
4 illicit substance or any other known fluid borne
5 bacterium.

6

7 Preferably a protein substrate and a ligand is employed
8 to bind a biotinylated antibody to the metal.

9

10 Preferably the protein substrate comprises biotin.

11

12 Preferably the ligand comprises a protein selected from
13 the group comprising avidin, strepavidin and neutravidin.

14

15 According to a second aspect of the present invention
16 there is provided a Surface Plasmon Resonance sensor
17 comprising a light source for generating a beam of light,
18 a cartridge according to the first aspect of the present
19 invention, a channel suitable for containing a fluid
20 sample to be tested and a light beam detection means
21 wherein the employment of the cartridge allows for the
22 miniaturisation of the sensor.

23

24 Most preferably the light source comprises a diode laser.

25

26 Preferably the channel locates on the second surface of
27 the cartridge such that the fluid sample contained within
28 the cartridge makes physical contact with the mounting
29 member.

30

31 Preferably the light beam detection means comprises a
32 detector and a data processing means.

33

1 According to a third aspect of the present invention
2 there is provided a method of field detection of one or
3 more pathogens comprising the steps of:

4 1) Selecting an appropriate cartridge for the
5 detection of one or more pathogens for use in a
6 Surface Plasmon Resonance sensor;

7 2) Calibrating the Surface Plasmon Resonance sensor;
8 and

9 3) Testing a fluid sample for the presence of one or
10 more of the pathogens;

11

12 Preferably the selection of the appropriate cartridge
13 comprises locating the cartridge with one or more
14 appropriate antibodies for binding with the one or more
15 pathogens.

16

17 Preferably calibrating the Surface Plasmon Resonance
18 sensor comprises:

19 1) Irradiating the mounting member with the beam of
20 light in the absence of the fluid sample; and

21 2) Detecting a component of the beam of light
22 reflected from the mounting member and storing the
23 data as a reference signal;

24

25 Preferably testing of a fluid sample for the presence of
26 one or more pathogens comprises:

27 1) Locating the fluid sample with respect to a
28 channel;

29 2) Connecting the channel to the cartridge;

30 3) Irradiating the fluid sample with the beam of
31 light;

32 4) Detecting the beam of light reflected from the
33 mounting member and storing the data as a sample
34 signal; and

1 5) Comparing the sample signal with the reference
2 signal.

3
4 Embodiments of the invention will now be described, by
5 way of example only, with reference to the accompanying
6 drawings, in which:

7
8 Figure 1 present a prism coupler based Surface
9 Plasmon Resonance sensor as described in
10 the Prior Art;

11 Figure 2 present a disposable cartridge based
12 Surface Plasmon Resonance sensor in
13 accordance with an aspect of the present
14 invention;

15 Figure 3 present a schematic representation of the
16 Surface Plasmon Resonance sensor of
17 Figure 2; and

18 Figure 4 present a schematic representation of a
19 binding method employed by the Surface
20 Plasmon Resonance sensor of Figure 2; and

21 Figure 5 presents typical Angle versus Intensity
22 curves as may be obtained by the Surface
23 Plasmon Resonance sensor.

24
25 Figures 2 and 3 present a disposable cartridge based
26 Surface Plasmon Resonance sensor 8 in accordance with an
27 aspect of the present invention. The sensor can be seen
28 to comprise a diode laser 9, a disposable cartridge 10
29 and a charge coupled device (CCD) detector 11 that is
30 connected to a data processing unit 12.

31
32 The disposable cartridge 10 comprises a shaped entrance
33 surface 13, a shaped exit surface 14 and a gold strip 15
34 that is attached to a third side of the disposable

1 cartridge 16. A channel 17 is employed to enclose the
2 gold strip so providing a means for containing and
3 introducing a fluid sample to the surface of the gold
4 strip 15. The disposable cartridge 10 can be detached
5 from the channel 17 so as to enable the cartridge 10 to
6 be disposed of and replaced, as required.

7
8 In order that the cartridge 10 be correctly aligned to
9 the diode laser 9, the CCD detector 11 and located
10 correctly with the channel 17, the channel 17 may further
11 comprise either male or female members (not shown) that
12 interact with female or male members, respectively,
13 located on the surface of the cartridge 10.

14
15 For the Surface Plasmon Resonance sensor 8 to operate
16 correctly there must be a means whereby the relevant
17 pathogen 18 to be detected can attach to surface of the
18 gold strip 15. There are several techniques known to
19 those skilled in the art for binding pathogens 18 to a
20 metal strip.

21
22 Figure 4 presents a schematic representation of a binding
23 method suitable for use with the Surface Plasmon
24 Resonance sensor 8. The first stage involves binding a
25 suitable protein substrate 19, for example biotin, to the
26 surface of the gold strip 15. Stage two involves
27 attaching a ligand 20 to the protein substrate 19. A
28 suitable ligand 20 for conjugating with biotin is avidin
29 although streptavidin or neutravidin may also be employed.
30 The third stage then involves the attachment of an
31 antibody 21, appropriate for the relevant pathogen 18 to
32 be tested for, to the ligand 20. This attachment is
33 achieved by employing antibodies 21 that have been
34 biotinylated 22.

1

2 When the gold strip 15 has been treated as described
3 above the Surface Plasmon Resonance sensor 8 is ready for
4 use. The diode laser 9 provides the required light beam
5 23. The light beam 23 is focused to a line 24 on the
6 gold strip 15 on passing through the shaped entrance
7 surface 13. This provides a large area of interaction
8 between the light beam 23 and the gold strip 15. Such an
9 area of interaction allows a range of spatially resolved
10 biotinylated antibodies 22 to be deposited on a single
11 cartridge 10. The light beam 23 is then totally
12 internally reflected so as to traverse through the shaped
13 exit surface 14. This results in the light beam 23 being
14 defocused such that the incident signal from each of the
15 biotinylated antibodies 22 is spatially resolved across
16 the whole area of the CCD detector 11. Data processing
17 is then carried out on the detected signal, as
18 appropriate.

19

20 Figure 5 presents a schematic Reflectance Angle versus
21 Intensity curves typically obtained by the Surface
22 Plasmon Resonance sensor 8. The solid curve 25
23 corresponds to the case where no pathogen 18 is present
24 in the fluid sample as indicated in Figure 5(a).
25 However, Figure 5(b) shows the case when a pathogen 18 is
26 present in the fluid sample, as represented by the broken
27 curve 26. The pathogen 18 on becoming attached to the
28 surface of the gold strip 15 alters the value of the
29 dielectric constant experienced by the light beam 23 at
30 the surface of the gold strip 15. As such the presence
31 of the pathogen 18 alters the profile of the Angle versus
32 Intensity curve, so permitting quick and easy detection
33 of the presence of the pathogen 18.

34

1 The employment of the disposable cartridge 10 and a diode
2 laser 9 light source provides the Surface Plasmon
3 Resonance sensor 8 with significant inherent advantages
4 over those taught in the Prior Art. In the first
5 instance these elements significantly simplify the
6 optical alignment requirements of the device as well as
7 allowing for the significant miniaturisation of the
8 device. As such, the Surface Plasmon Resonance sensor 8
9 provides a compact, simple to align and cost effective
10 device for the field testing of the presence of a
11 pathogen 18. The miniaturisation of the device has the
12 added advantage that it increases the sensitivity of the
13 sensor since all of the functionalised area of the gold
14 strip 15 can be contained within the focused line 24 area
15 of the incident light beam 23.

16
17 In particular, the fact that the focusing and defocusing
18 elements are incorporated directly within the disposable
19 cartridge 10 simplifies the time consuming alignment
20 requirements associated with the optical systems 3 and 6
21 of the Prior Art sensors. In addition, the employment of
22 an injection moulding technique allows for the low cost
23 fabrication of the disposable cartridge 10. Such a
24 technique therefore makes it cost effective to remove and
25 dispose of the cartridge 10 after use and simply replace
26 it with a new cartridge 10, as required. The use of
27 these disposable cartridges 10 significantly reduces the
28 time consuming cleaning requirements associated with the
29 sensors described in the Prior Art.

30
31 An alternative embodiment of the Surface Plasmon
32 Resonance sensor (not shown) the fluid sample to be
33 tested is continuously passed through the channel 17 and
34 across the surface of the gold strip 15. This allows for

1 the Surface Plasmon Resonance sensor to continuously
2 monitor a fluid source for the presence of a pathogen 18
3 rather than testing a single sample taken from the fluid
4 source as discussed in relation to the above preferred
5 embodiment.

6
7 The Surface Plasmon Resonance sensor 8 described herein
8 is particularly suitable for the detection of the
9 bacteria Legionella in water samples obtained from
10 industrial or recreational sources. This is of
11 particular importance in evaluating and controlling the
12 risk to public health presented by the often-fatal
13 condition Legionnaires disease and the less serious but
14 far more common condition of Pontiac Fever. Existing
15 techniques are either very slow or too labour intensive
16 to meet market demands, since they generally require
17 qualified microbiologists to perform testing at
18 specialist laboratories.

19
20 The availability of the focused line 24 interaction area
21 on the gold strip 15 allows for the functionalisation of
22 the interaction area for different antibodies that are
23 sensitive to different forms of the Legionella bacteria.
24 Thus, the above apparatus provides a sensor that is
25 capable of simultaneously detecting and discriminating
26 between Legionella pneumophilla serogroup 1 and
27 Legionella serogroups 2-15.

28
29 Although ideal for the detection of the bacteria
30 Legionella, it will be obvious to one skilled in the art
31 that the surface Plasmon Resonance sensor may be easily
32 adapted for use in the detection of alternative species
33 e.g. Escherichia Coli, Salmonella, Bacillus Anthracis,
34 Yersinia Pestis, Lysteria, Cryptosporidium, Variola

1 virus, Picomaviridae Apthovirus, Filoviruses, any
2 plasticiser, steroid, medicinal drug or illicit substance
3 or any other known fluid borne pathogen.
4

5 In addition to the use for water quality monitoring as
6 described above it would be obvious to one skilled in the
7 art that the Surface Plasmon Resonance sensor 8 is also
8 ideal for use in healthcare, especially for use as a
9 point of care diagnostic.
10

11 Aspects of the present invention described above offer
12 significant advantages over the Prior Art. In the first
13 instance the Surface Plasmon Resonance sensor provides a
14 compact, simple to align and cost effective device for
15 the field testing of the presence of a pathogen. The
16 device is ideal for the expeditious detection and
17 identification of a range of pathogens. Further, the
18 incorporation of the focused line area provides a means
19 for carrying out such a detection and identification
20 process simultaneously for a number of different
21 pathogens.
22

23 The foregoing description of the invention has been
24 presented for purposes of illustration and description
25 and is not intended to be exhaustive or to limit the
26 invention to the precise form disclosed. The described
27 embodiments were chosen and described in order to best
28 explain the principles of the invention and its practical
29 application to thereby enable others skilled in the art
30 to best utilise the invention in various embodiments and
31 with various modifications as are suited to the
32 particular use contemplated. Therefore, further
33 modifications or improvements may be incorporated without

1 departing from the scope of the invention herein
2 intended.

1 **Claims**

2

3 1) A cartridge for use in a Surface Plasmon Resonance
4 sensor, the cartridge comprising an optical element
5 having a first surface and a mounting member for
6 supporting a sensing agent located on a second
7 surface of the optical element wherein the first
8 surface comprises a first means for directing a beam
9 of light incident on the optical element towards the
10 second surface at an angle of incidence to the second
11 surface that results in substantially total internal
12 reflection of the beam of light at an interface of
13 the mounting member and the second surface.

14

15 2) A cartridge as claimed in Claim 1 wherein the optical
16 element further comprises a third surface for the
17 exit of beam of light from the optical element
18 wherein the third surface includes a second means for
19 directing the beam of light.

20

21 3) A cartridge as claimed in Claim 1 or Claim 2 wherein
22 the optical element comprises a material having a
23 first dielectric constant while the mounting member
24 comprises a material having a second dielectric
25 constant wherein the second dielectric constant is of
26 an opposite sign to that of the first dielectric
27 constant.

28

29 4) A cartridge as claimed in any of the preceding Claims
30 wherein the first means for directing the light beam
31 comprises a focusing element for focusing the beam of
32 light to a line at the interface of the mounting
33 member and the second surface.

34

- 1 5) A cartridge as claimed in Claim 2 to Claim 4 wherein
2 the second means for directing the light beam
3 comprises a defocusing element.
4
- 5 6) A cartridge as claimed in any of the preceding Claims
6 wherein the mounting member comprises a metal.
7
- 8 7) A cartridge as claimed in any of the preceding Claims
9 wherein the optical element comprises an injection
10 moulded plastic material.
11
- 12 8) A cartridge as claimed in any of the preceding Claims
13 wherein the sensing element comprises one or more
14 antibodies each antibody being suitable for binding a
15 pathogen.
16
- 17 9) A cartridge as claimed in Claim 8 wherein the bound
18 pathogen is selected from the group comprising
19 Legionella, Escherichia coli, Salmonella, Bacillus
20 Anthracis, Yersinia Pestis, Lysteria,
21 Cryptosporidium, Variola virus, Picomaviridae
22 Apthovirus, Filoviruses, any plasticiser, steroid,
23 medicinal drug or illicit substance or any other
24 known fluid borne bacterium.
25
- 26 10) A cartridge as claimed in Claim 8 or Claim 9 wherein
27 a protein substrate and a ligand is employed to bind
28 a biotinylated antibody to the metal.
29
- 30 11) A cartridge as claimed in Claim 10 wherein the
31 protein substrate comprises biotin.
32
- 33 12) A cartridge as claimed in Claim 10 or Claim 11
34 wherein the ligand comprises a protein selected from

1 the group comprising avidin, strepavidin and
2 neutravidin.

3

4 13) A Surface Plasmon Resonance sensor comprising a light
5 source for generating a beam of light, a cartridge as
6 claimed in Claim 1 to 12, a channel suitable for
7 containing a fluid sample to be tested and a light
8 beam detection means wherein the employment of the
9 cartridge allows for the miniaturisation of the
10 sensor.

11

12 14) A Surface Plasmon Resonance sensor as claimed in
13 Claim 13 wherein the light source comprises a diode
14 laser.

15

16 15) A Surface Plasmon Resonance sensor as claimed in
17 Claim 13 or Claim 14 wherein the channel locates on
18 the second surface of the cartridge such that the
19 fluid sample contained within the cartridge makes
20 physical contact with the mounting member.

21

22 16) A Surface Plasmon Resonance sensor as claimed in
23 Claim 13 to Claim 15 wherein the light beam detection
24 means comprises a detector and a data processing
25 means.

26

27 17) A method of field detection of one or more pathogens
28 that comprising the steps of:

29 1) Selecting an appropriate cartridge for the
30 detection of one or more pathogens for use in a
31 Surface Plasmon Resonance sensor;

32 2) Calibrating the Surface Plasmon Resonance sensor;
33 and

1 3) Testing a fluid sample for the presence of one or
2 more of the pathogens;

3

4 18) A method of field detection of one or more pathogens
5 as claimed in Claim 17 wherein the selection of the
6 appropriate cartridge comprises locating the
7 cartridge with one or more appropriate antibodies for
8 binding with the one or more pathogens.

9

10 19) A method of field detection of one or more pathogens
11 as claimed in Claim 17 or Claim 18 wherein
12 calibration of the Surface Plasmon Resonance sensor
13 comprises:

14 1) Irradiating a mounting member with a beam of light
15 in the absence of the fluid sample; and

16 2) Detecting a component of the beam of light
17 reflected from the mounting member and storing the
18 data as a reference signal;

19

20 20) A method of field detection of one or more pathogens
21 as claimed in Claim 17 to Claim 19 wherein the
22 testing of a fluid sample for the presence of one or
23 more pathogens comprises:

24 1) Locating the fluid sample with respect to a
25 channel;

26 2) Connecting the channel to the cartridge;

27 3) Irradiating the fluid sample with the beam of
28 light;

29 4) Detecting the beam of light reflected from the
30 mounting member and storing the data as a sample
31 signal; and

32 5) Comparing the sample signal with the reference
33 signal.

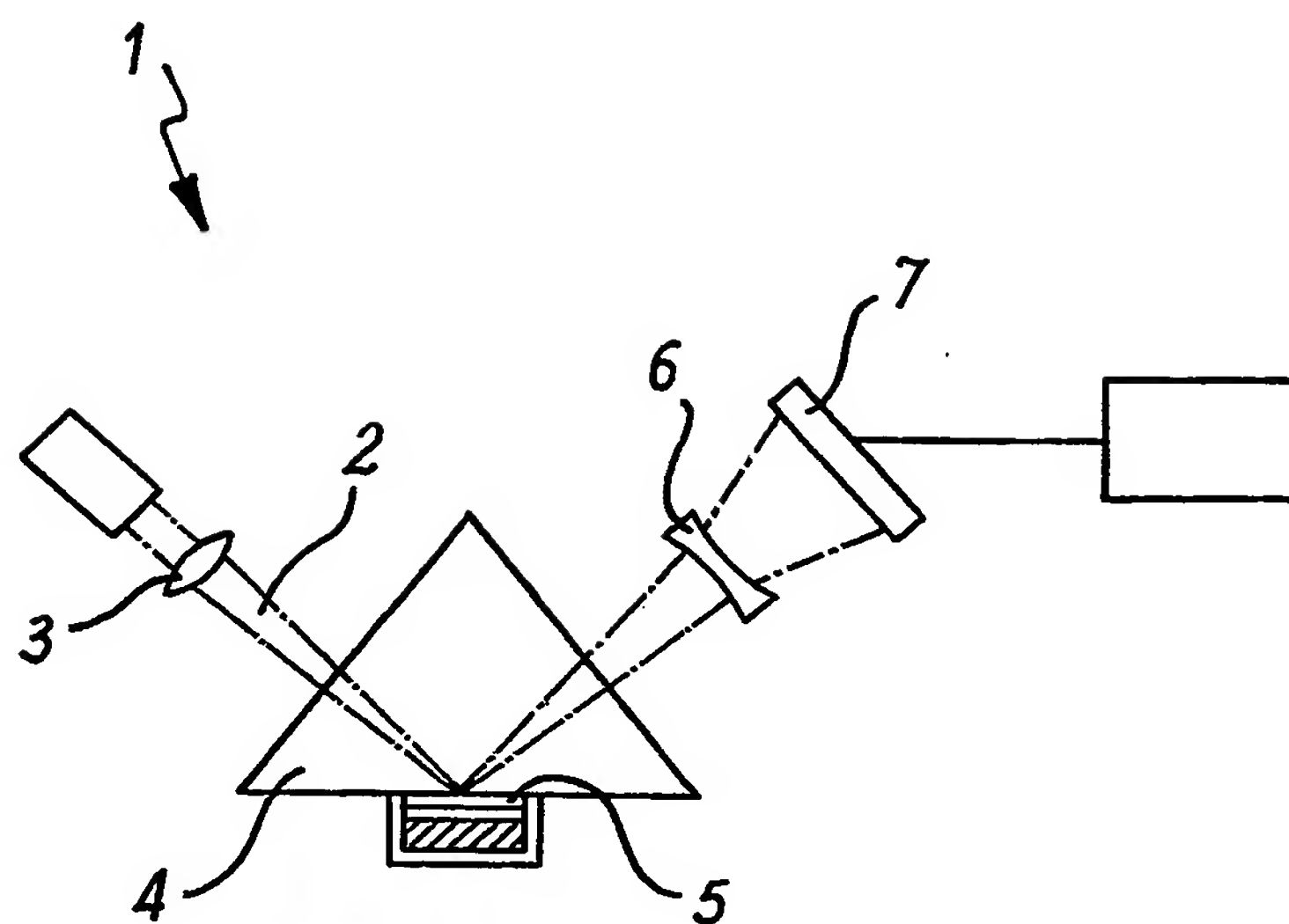
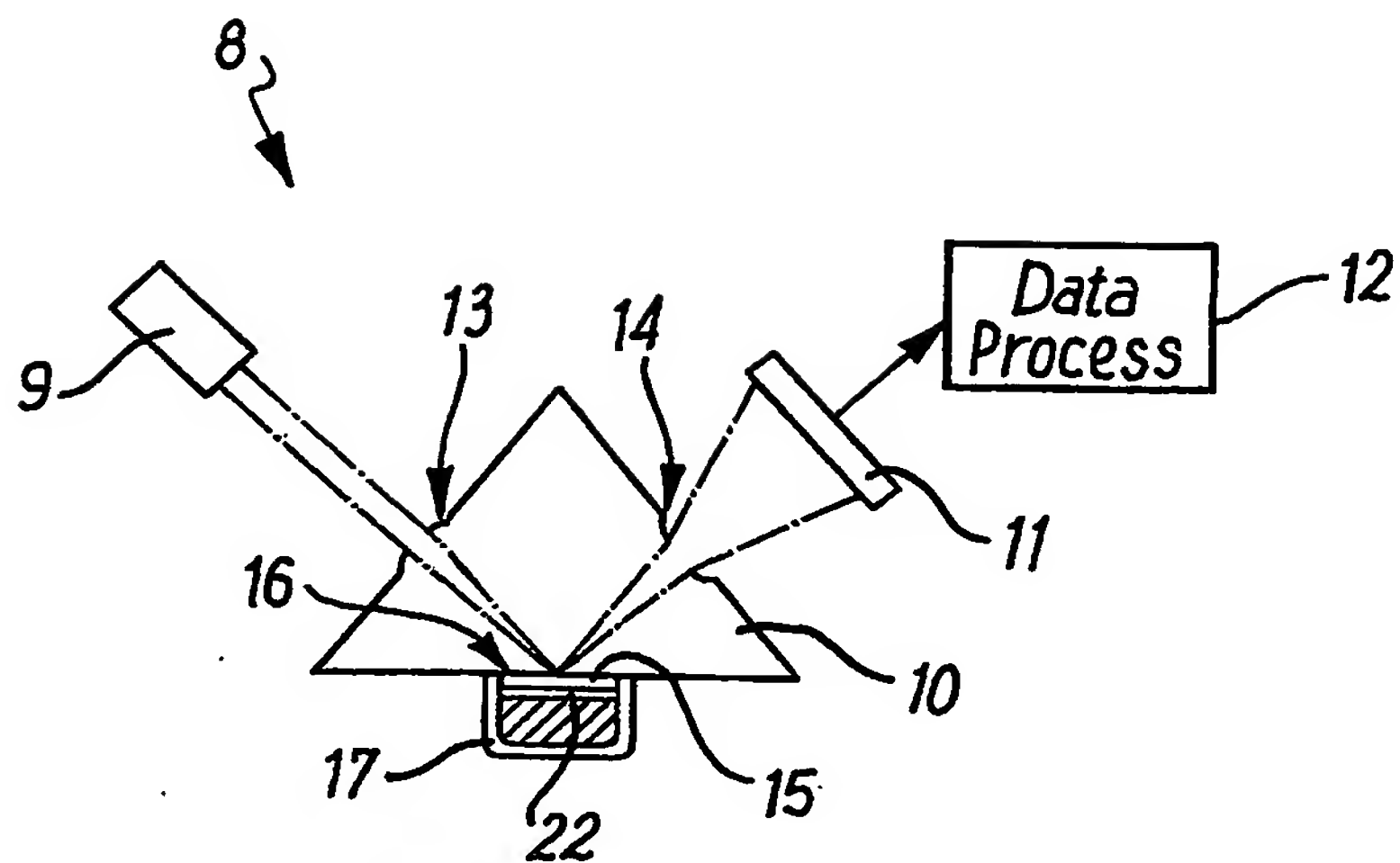
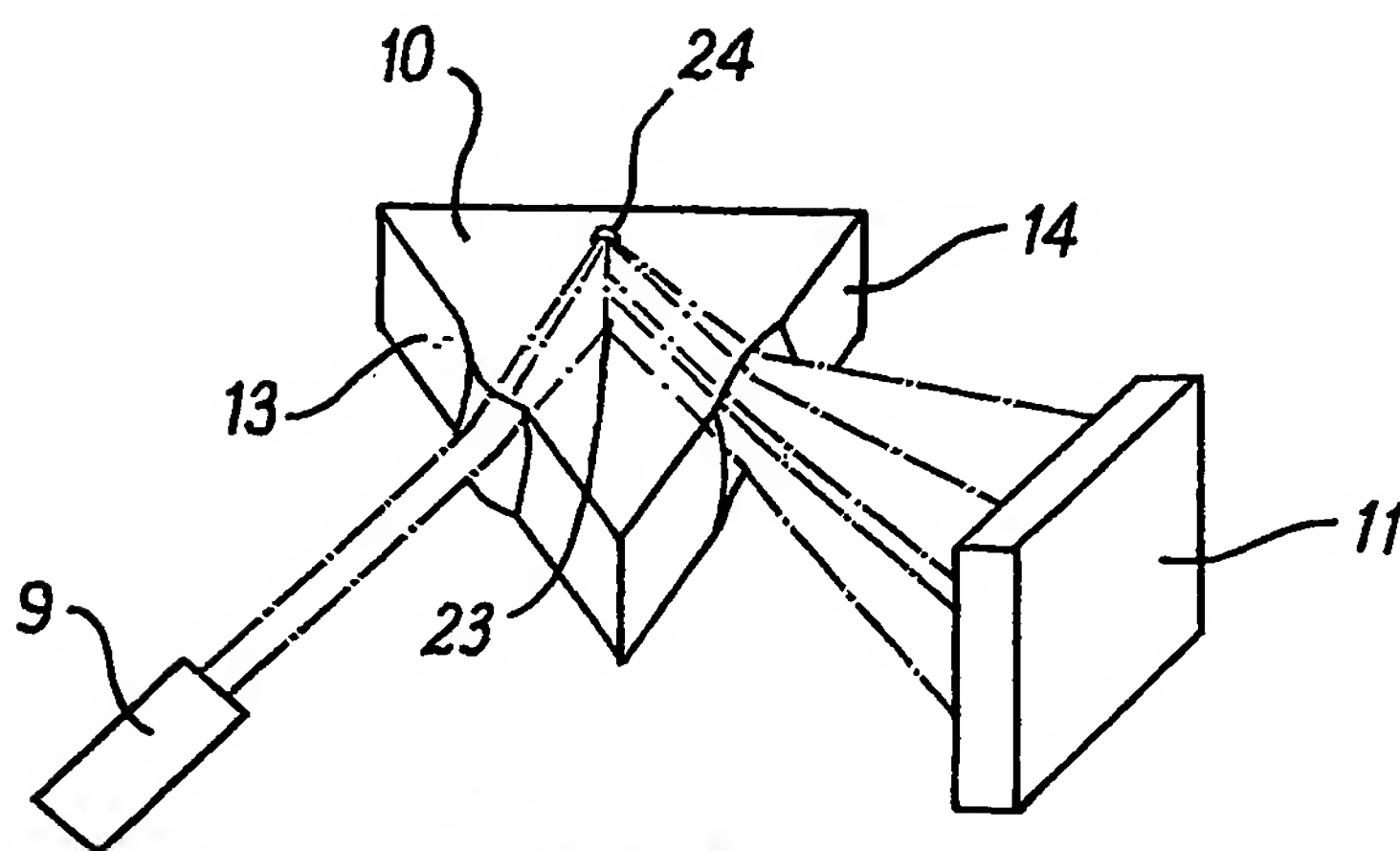
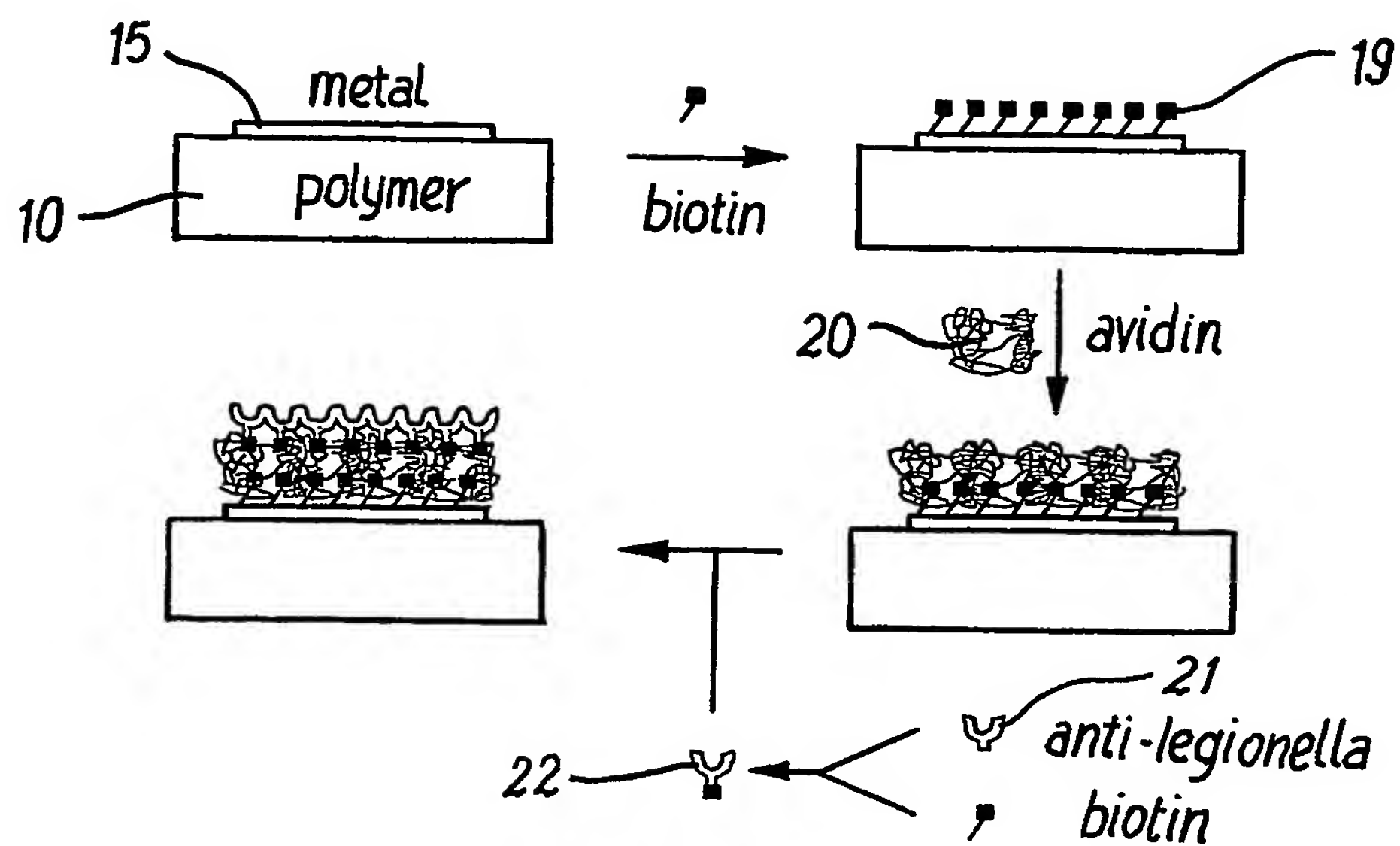
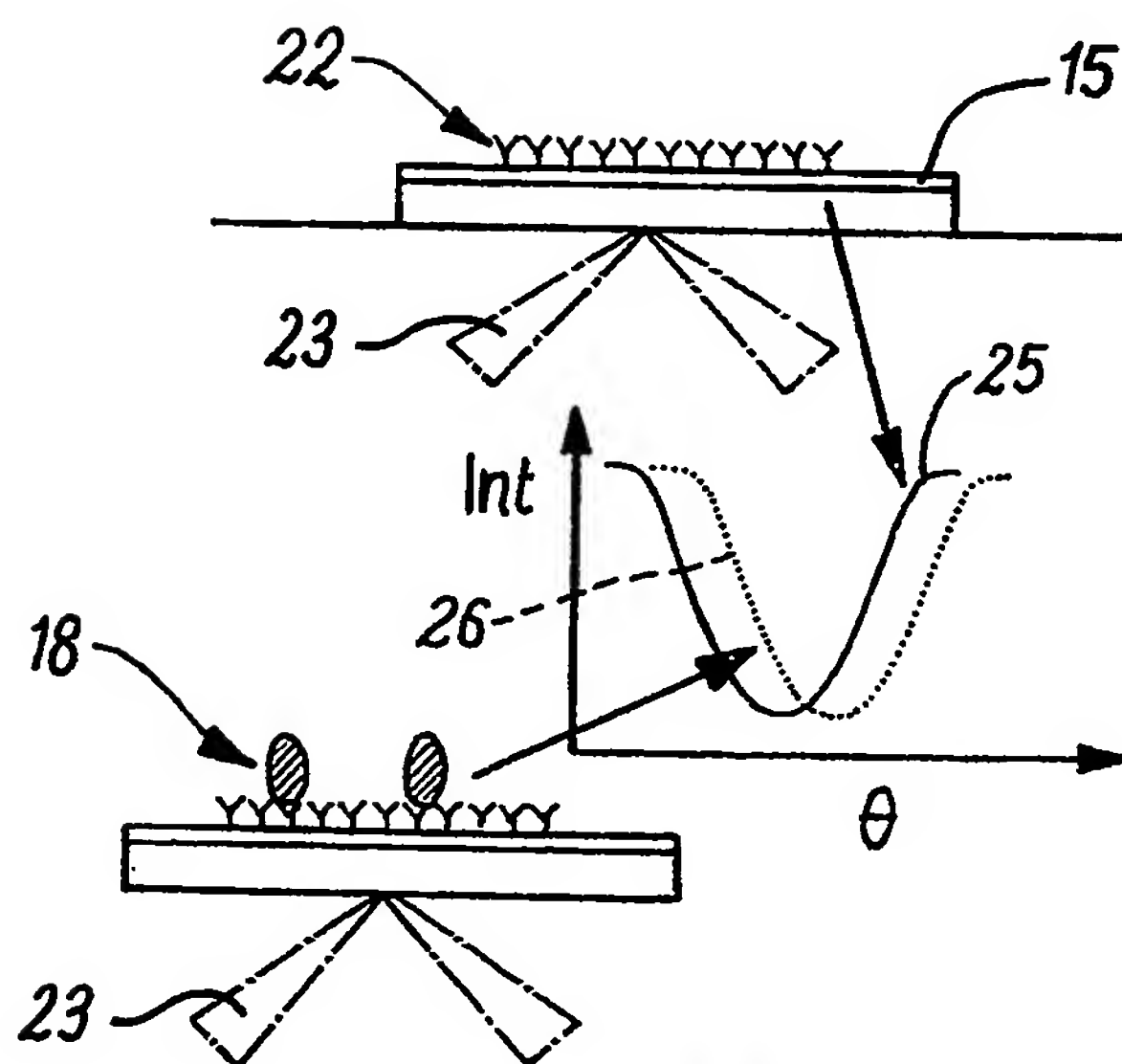


Fig. 1

**FIG. 2****FIG. 3**

**FIG. 4****FIG. 5**

INTERNATIONAL SEARCH REPORT

International Application No
PCT/GB 03/05716

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G01N21/55

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

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C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	<p>WO 92/05426 A (AMERSHAM INT PLC) 2 April 1992 (1992-04-02)</p> <p>page 2, line 9 - line 19 page 4, line 18 - line 36 page 5, line 15 - line 21 page 6, line 21 - line 27 page 12, line 22 -page 13, line 19 page 14, line 24 -page 15, line 2 page 15, line 20 - line 24 page 16, line 2 - line 9 page 16, line 25 - line 30 page 19, line 3 - line 7 page 19, line 25 -page 20, line 30</p> <p>--- -/--</p>	<p>1-12, 17-20 13-16</p>

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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INTERNATIONAL SEARCH REPORT

International Application No
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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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